

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 732.749

First Inventor or Application Identifier Robert A. Luciano et al

Title ROTATING DISKS SLOT MACHINE

Express Mail Label No. EV 258467534 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 23]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
4. Oath or Declaration [Total Pages 3]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

* NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ * Small Entity Statement(s) ☒ Statement filed in prior application
(PTO/SB/09-12) Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. 09/638,666

Prior application information: Examiner Christina A. Marks Group / Art Unit: 3713

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS


☐ Customer Number or Bar Code Label

21,707

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Ryan A. Heck			
Address				
City	State	Zip Code		
Country	Telephone	775-826-6160	Fax	775-828-1651

Name (Print/Type)	Ryan A. Heck	Registration No. (Attorney/Agent)	51,795
Signature		Date	7-17-2003

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FEE TRANSMITTAL		Complete if Known	
for FY 2000		Application Number	
Patent fees are subject to annual revision. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.		Filing Date	
		First Named Inventor	Robert A. Luciano et al.
		Examiner Name	
		Group / Art Unit	
TOTAL AMOUNT OF PAYMENT		Attorney Docket No.	732.749
(\$375.00)			

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																					
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 50,0913 Deposit Account Name: Ian F. Burns & Associates <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Small Entity Fee Code</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105 130 205 65</td><td></td><td>Surcharge - late filing fee or oath</td><td>0.00</td></tr> <tr><td>127 50 227 25</td><td></td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139 130 139 130</td><td></td><td>Non-English specification</td><td>0.00</td></tr> <tr><td>147 2,520 147 2,520</td><td></td><td>For filing a request for reexamination</td><td>0.00</td></tr> <tr><td>112 920* 112 920*</td><td></td><td>Requesting publication of SIR prior to Examiner action</td><td>0.00</td></tr> <tr><td>113 1,840* 113 1,840*</td><td></td><td>Requesting publication of SIR after Examiner action</td><td>0.00</td></tr> <tr><td>115 110 215 55</td><td></td><td>Extension for reply within first month</td><td>0.00</td></tr> <tr><td>116 380 216 190</td><td></td><td>Extension for reply within second month</td><td>0.00</td></tr> <tr><td>117 870 217 435</td><td></td><td>Extension for reply within third month</td><td>0.00</td></tr> <tr><td>118 1,360 218 680</td><td></td><td>Extension for reply within fourth month</td><td>0.00</td></tr> <tr><td>128 1,850 228 925</td><td></td><td>Extension for reply within fifth month</td><td>0.00</td></tr> <tr><td>119 300 219 150</td><td></td><td>Notice of Appeal</td><td>0.00</td></tr> <tr><td>120 300 220 150</td><td></td><td>Filing a brief in support of an appeal</td><td>0.00</td></tr> <tr><td>121 260 221 130</td><td></td><td>Request for oral hearing</td><td>0.00</td></tr> <tr><td>138 1,510 138 1,510</td><td></td><td>Petition to institute a public use proceeding</td><td>0.00</td></tr> <tr><td>140 110 240 55</td><td></td><td>Petition to revive - unavoidable</td><td>0.00</td></tr> <tr><td>141 1,210 241 605</td><td></td><td>Petition to revive - unintentional</td><td>0.00</td></tr> <tr><td>142 1,210 242 605</td><td></td><td>Utility issue fee (or reissue)</td><td>0.00</td></tr> <tr><td>143 430 243 215</td><td></td><td>Design issue fee</td><td></td></tr> <tr><td>144 580 244 290</td><td></td><td>Plant issue fee</td><td></td></tr> <tr><td>122 130 122 130</td><td></td><td>Petitions to the Commissioner</td><td>0.00</td></tr> <tr><td>123 50 123 50</td><td></td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126 240 126 240</td><td></td><td>Submission of Information Disclosure Stmt</td><td>0.00</td></tr> <tr><td>581 40 581 40</td><td></td><td>Recording each patent assignment per property (times number of properties)</td><td>0.00</td></tr> <tr><td>146 690 246 345</td><td></td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td>0.00</td></tr> <tr><td>149 690 249 345</td><td></td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td>0.00</td></tr> <tr><td colspan="3">Other fee (specify)</td><td>0.00</td></tr> <tr><td colspan="3">Other fee (specify)</td><td>0.00</td></tr> </tbody> </table>		Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid	105 130 205 65		Surcharge - late filing fee or oath	0.00	127 50 227 25		Surcharge - late provisional filing fee or cover sheet		139 130 139 130		Non-English specification	0.00	147 2,520 147 2,520		For filing a request for reexamination	0.00	112 920* 112 920*		Requesting publication of SIR prior to Examiner action	0.00	113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	0.00	115 110 215 55		Extension for reply within first month	0.00	116 380 216 190		Extension for reply within second month	0.00	117 870 217 435		Extension for reply within third month	0.00	118 1,360 218 680		Extension for reply within fourth month	0.00	128 1,850 228 925		Extension for reply within fifth month	0.00	119 300 219 150		Notice of Appeal	0.00	120 300 220 150		Filing a brief in support of an appeal	0.00	121 260 221 130		Request for oral hearing	0.00	138 1,510 138 1,510		Petition to institute a public use proceeding	0.00	140 110 240 55		Petition to revive - unavoidable	0.00	141 1,210 241 605		Petition to revive - unintentional	0.00	142 1,210 242 605		Utility issue fee (or reissue)	0.00	143 430 243 215		Design issue fee		144 580 244 290		Plant issue fee		122 130 122 130		Petitions to the Commissioner	0.00	123 50 123 50		Petitions related to provisional applications		126 240 126 240		Submission of Information Disclosure Stmt	0.00	581 40 581 40		Recording each patent assignment per property (times number of properties)	0.00	146 690 246 345		Filing a submission after final rejection (37 CFR § 1.129(a))	0.00	149 690 249 345		For each additional invention to be examined (37 CFR § 1.129(b))	0.00	Other fee (specify)			0.00	Other fee (specify)			0.00
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2. EXTRA CLAIM FEES Total Claims: 1 -20** = 0 X Fee from below = 0 Independent Claims: 0 -3** = 0 X Fee from below = 0 Multiple Dependent: 0 X Fee from below = 0 **or number previously paid, if greater; For Reissues, see below <table border="1"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Small Entity Fee Code</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>103 18 203 9</td><td></td><td>Claims in excess of 20</td><td></td></tr> <tr><td>102 78 202 39</td><td></td><td>Independent claims in excess of 3</td><td></td></tr> <tr><td>104 260 204 130</td><td></td><td>Multiple dependent claim, if not paid</td><td></td></tr> <tr><td>109 78 209 39</td><td></td><td>** Reissue independent claims over original patent</td><td></td></tr> <tr><td>110 18 210 9</td><td></td><td>** Reissue claims in excess of 20 and over original patent</td><td></td></tr> </tbody> </table>		Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid	103 18 203 9		Claims in excess of 20		102 78 202 39		Independent claims in excess of 3		104 260 204 130		Multiple dependent claim, if not paid		109 78 209 39		** Reissue independent claims over original patent		110 18 210 9		** Reissue claims in excess of 20 and over original patent		1. BASIC FILING FEE <table border="1"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Small Entity Fee Code</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101 690 201 345</td><td></td><td>Utility filing fee</td><td>375.00</td></tr> <tr><td>106 310 206 155</td><td></td><td>Design filing fee</td><td></td></tr> <tr><td>107 480 207 240</td><td></td><td>Plant filing fee</td><td></td></tr> <tr><td>108 690 208 345</td><td></td><td>Reissue filing fee</td><td></td></tr> <tr><td>114 150 214 75</td><td></td><td>Provisional filing fee</td><td></td></tr> </tbody> </table>		Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid	101 690 201 345		Utility filing fee	375.00	106 310 206 155		Design filing fee		107 480 207 240		Plant filing fee		108 690 208 345		Reissue filing fee		114 150 214 75		Provisional filing fee																																																																					
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Ryan A. Heck	Registration No. (Attorney/Agent)	51,795
Signature		Telephone	775-826-6160
		Date	7-17-2003

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**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

Robert A. Luciano, Jr. et al.

Title

ROTATING DISKS SLOT MACHINE

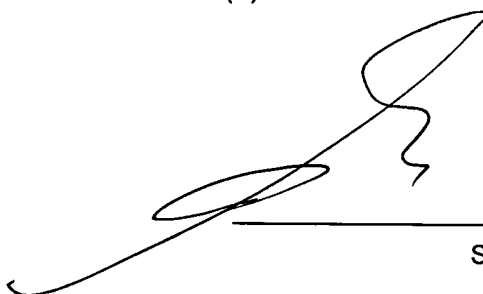
Atty Docket Number

732.749

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

July 17, 2003

Date



Signature

Ryan A. Heck

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IAN F. BURNS & ASSOCIATES, P.C.

Intellectual Property Law

Ian F. Burns*†
Ryan A. Heck, Ph.D. **

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Suite 222
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Phone: (775) 826-6160
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*Admitted in U.S. Patent and Trademark Office
†Admitted in California, Hawaii & Nevada
**Admitted in Texas & Nevada

July 17, 2003

Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In re application of: Robert A. Luciano and Malavazos et al.
Title: ROTATING DISKS SLOT MACHINE
Attorney docket number: 732.749 SDG.CON2-Rotating Disks Slot MaAchine

Dear Sir:

The following is/are submitted to the Patent and Trademark Office for appropriate action:

1. A Continuation Patent Application (23 pages).
2. Seven (7) sheets of drawings.
3. A Utility Patent Application Transmittal (1 page).
4. A copy of a Declaration for Utility Patent Application previously filed (3 pages).
5. A Request and Certification (1 page).
6. A Fee Transmittal (1 page).
7. A Credit Card Payment Form (1 page).
8. A return receipt postcard.

Cordially yours,


Ryan A. Heck

Registration Number: 51,795

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

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Transmitted by facsimile to the Patent and Trademark Office.

Fax No.: _____

Signature

Name

Date